



Privacy Policy

October 2025

Strategic Wealth Partners LLC ("SWP") is bound by federal law that gives clients the right to limit some but not all sharing of nonpublic personal information (NPI). It also requires us to tell you how SWP collects, shares, and protects your NPI. Please read this notice carefully to understand what we do. Our relationship with you is our most important asset. We understand that you have entrusted us with your private information, and we do everything that we can to maintain that trust. SWP (also referred to as "we", "our" and "us") protects the security and confidentiality of the personal information we have and implements controls to ensure that such information is used for proper business purposes in connection with the management or servicing of our relationship with you.

FACTS	WHAT DOES STRATEGIC WEALTH PARTNERS LLC DO WITH YOUR PERSONAL INFORMATION?
Who is providing this notice?	Strategic Wealth Partners LLC
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	<p>The types of nonpublic personal information ("NPI") we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none">• Social Security number and date of birth• Banking information and financial account numbers and/or balances• Sources of income and investment objectives
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons SWP chooses to share; and whether you can limit this sharing.
To whom does SWP share my personal information?	SWP shares your NPI with parties whose use of your information is required for us to provide you with the services that it offers and to execute the responsibilities SWP maintains as a fiduciary, as well as any necessary compliance with legal and regulatory organizations. These parties include, but

	<p>are not limited to:</p> <ul style="list-style-type: none"> • Affiliates, a person that directly, or indirectly through one or more intermediaries, controls or is controlled by, or is under common control with, the person specified in this Policy. • Non-affiliated third parties who do not use your information for marketing purposes such as: <ul style="list-style-type: none"> • Co-Providers/third-party money managers; • Financial custodians; • Insurance carriers; • Essential SaaS providers (e.g. CRM, Document Storage, Financial Planning, etc.); and • The Securities Exchange Commission, local, state, and federal law enforcement (upon request).
How does SWP protect my personal information?	<p>To protect your NPI from unauthorized access and use, SWP uses security measures that comply with federal law. These measures include electronic data encryption, management of data access, physical security for devices and paper documents, internal procedures, and secure disposal of data, devices, and paper records.</p>
How does SWP collect my personal information?	<p>We collect your personal information in a variety of ways as part of providing investment advisory and financial planning services. For example, we collect personal information when you:</p> <ul style="list-style-type: none"> • Open an investment advisory account or enter into an advisory agreement with us • Provide account documentation, financial statements, or identification information • Seek advice about your investments, retirement, taxes, or estate planning • Make deposits or withdrawals, or direct transactions in your investment accounts • Provide information to us through meetings, phone calls, emails, our website, or other communications <p>We also collect your personal information from other sources, such as:</p> <ul style="list-style-type: none"> • Custodians, brokers, and financial institutions that hold your accounts • Third-party service providers who help us process transactions or maintain your records • Publicly available sources, such as government records or online databases <p>We collect this information so that we can deliver personalized financial advice, meet our legal and regulatory obligations, and provide services</p>



	consistent with your investment objectives.
Why can't I limit my sharing?	<p>Federal law gives you the right to limit only:</p> <ul style="list-style-type: none"> sharing for affiliates' everyday business purposes—information about your creditworthiness affiliates from using your information to market to you sharing for nonaffiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p>
What happens when I limit sharing for an account I hold jointly with someone else?	<p>Your choices to limit sharing will apply to everyone on your account.</p> <p>If you have a joint account with another person, any privacy preferences or opt-out instructions you provide will apply to all joint account holders.</p>
To limit our sharing	<ul style="list-style-type: none"> Call 615-722-9990 Email Drake Oliver at Drake@StrategicWealthPartners.net Visit us online: StrategicWealthPartners.net Mail the form below
Questions?	Please email Drake Oliver at drake@strategicwealthpartners.net

FEDERAL LAW GIVES YOU THE RIGHT TO LIMIT SOME SHARING

Reasons we can share your NPI	Does SWP Share?	Can You Limit Sharing?
For our everyday business purposes – such as to process your transactions, open and maintain your account(s), respond to court orders and legal investigations.	Yes	No
For our marketing purposes – to offer our products and services to you	Yes	Yes
To solicit reviews from clients - Responses to these requests may be used by SWP in marketing materials and other communications, and in so doing, SWP may identify the applicable person(s) as a current or former client of SWP.	Yes	Yes
For joint marketing with other financial companies	Yes	Yes



For our affiliates' everyday business purposes – about your creditworthiness	Yes	Yes
For our affiliates' everyday business purposes - information about your transactions and information	Yes	No
For non-affiliates' everyday business purposes – information about your creditworthiness	Yes	Yes
For our affiliates to market to you	Yes	Yes
For non-affiliates to market to you	We don't share	We don't share

We may share your personal information with non-affiliated financial companies subject to the strict provisions of the Joint Marketing Agreement/s made known to you and where consent is obtained for presenting an offer on co-branded financial products.

State Privacy Rights

In addition to our obligations under federal law, including the Gramm-Leach-Bliley Act (GLBA) and SEC Regulation S-P, we comply with applicable state consumer privacy laws that govern how we collect, use, and share personal information. To the extent any state law provides you with additional privacy rights or imposes specific requirements, we will comply with those obligations and provide the necessary disclosures.

We currently comply with, and will continue to monitor and implement, consumer privacy requirements in the following states: Alaska, Arizona, California, Colorado, Connecticut, Florida, Georgia, Illinois, Indiana, Kansas, Louisiana, Massachusetts, Minnesota, Montana, Nebraska, New Hampshire, North Carolina, Ohio, Oklahoma, Pennsylvania, South Carolina, Tennessee, Texas, Utah, Vermont, Virginia, and Wisconsin.

If any state law requires specific disclosures or consumer rights beyond this general notice—such as additional notice, opt-out rights, or data access and correction rights—we will provide a state-specific privacy addendum accessible in our website.

California Residents: We will not share information we collect about you with non-affiliated third parties, except as permitted by California law—for example, to process your transactions, maintain your account, or as otherwise authorized or required by law.

Vermont Residents: We will not share information we collect about you with non-affiliated third parties, except as permitted by Vermont law—for example, to process your transactions, maintain your account, or as otherwise authorized or required by law.

Tennessee Residents: We comply with Tennessee's privacy and data protection requirements and will not share your nonpublic personal information with non-affiliates except as permitted by law or with your consent.



Future State Privacy Laws: We continually monitor the development of new state privacy laws and will update this notice and any applicable addenda to ensure ongoing compliance with all relevant state and federal consumer privacy requirements.

Definitions	
Affiliates	“Affiliate” means any person that is directly or indirectly, through one or more intermediaries, Controlling, Controlled by, or under common Control with the one of the Parties hereto. For purposes of this definition, “Control” shall mean possessing, directly or indirectly, the power to direct or cause the direction of the management, policies, and operations of a person, whether through ownership of voting securities, by contract, or otherwise.
Non-Affiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. Non-affiliates might include third-party service providers, such as marketing firms or external custodians.
Joint marketing	A formal agreement between non-affiliated financial companies that together market financial products or services to you.

WHEN AND TO WHOM WILL YOUR INFORMATION BE SHARED?

If you are a new customer, SWP may begin sharing your NPI within 30 days of signing our agreement in order for us to begin providing you with financial management services. When you are no longer our customer, SWP may continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing. Your choices will apply to everyone on your account that is held jointly with someone else.

NOTICE FOR CHANGES IN POLICY

SWP may update this Privacy Policy from time to time to reflect changes in our practices or legal requirements. In the event of significant and material changes, we will provide you with a written notification sent through email or a mail correspondence sent to your declared address.

Your privacy, our professional ethics, and the ability to provide you with quality financial services are very important to us.

Opt-Out Mail in Form



Your name: _____

Your Address: _____

Mark any/all you want to limit:

- Do not share information about my creditworthiness affiliates for their everyday business purposes.
- Do not share my personal information with affiliates to market their services and products to me.
- Do not identify me as a client with your firm when I leave a review online.
- Do not share my personal information with non-affiliates to market their services and products to me.

Mail to:

Strategic Wealth Planners LLC
230 Franklin Rd. Building
2B, Franklin, Tennessee,
United States 37064